

Präsentation im Rahmen des



Krypto Workshops 2026

14. April 2026 im Hause Waldeck Rechtsanwälte PartmbB

„der Weg zum Endkunden
– vertikale Integration –
an den Usecases Kraken und eToro“

Rainer Bergmann, DLT Securities GmbH

EU-regulated technology infrastructure for the entire lifecycle of digital assets trading

EU-Regulated & Made in Germany

Full TradeCo | MiFID II licensed | MiCAR licensed

Modular Technology Infrastructure

API-first | Customizable | Scalable Crypto Products

Proven Track Record

7+ Years Experience | Global Tier 1 Clients

Trusted by
leading financial market
players from around the world

 kraken


Swiss Exchange



HANSA INVEST

 FINO


DONNER & REUSCHEL
PRIVATBANK SEIT 1798

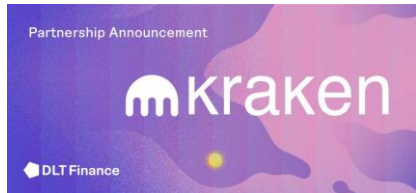
Clients from across the globe trust DLT Finance to launch their products

Core Segments

Crypto-Player

B2B2X Brokerage & Custody

We empower our clients to offer compliant crypto investment products across the European Union.



San Francisco - The global crypto platform enters partnership with DLT Finance to provide regulated crypto products to German clients.

Institutions

B2B Brokerage & Custody

We seamlessly integrate our brokerage and custody capabilities into our clients' existing product offerings.



Zurich - SIX Digital Exchange and DLT Finance Partner to Offer End-to-End Crypto Trading and Custody for Institutional Clients.

Trading Platforms

Prime Trading

We deliver streamlined trading and liquidity solutions for our clients' brokerage and exchange platforms.

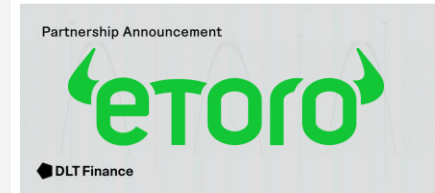


London - TP ICAP partners with DLT Finance to Enhance Institutional Digital Asset Infrastructure providing a secure platform for TP ICAP's clients.

Broker

Bespoke Solutions to embed Crypto Brokerage

We provide tailored solutions for Brokers and their connected trading platforms.



Israel - eToro partners with DLT Finance to offer physical crypto trading to German customers.

We provide market leading regulatory-ready frameworks to our national and international partners

DLT Finance operates as a fully licensed **Securities Trading Bank** under WpIG

- ✓ Licenses span the **entire lifecycle**: trading, issuance, secondary market liquidity, and asset management
- ✓ Coverage from **spot and derivatives to tokenized securities**
- ✓ **MiCAR licensed – EU Passporting in progress**

Portfolio Management

§2 (2) no. 9 of the WpIG

Managing client portfolios with full decision-making authority.

Contract Broking

§2 (2) no. 5 of the WpIG

Executing trades in the client's name and for their account.

Market Making

§ 2 (2) no. 10a of the WpIG

Continuously quoting buy and sell prices from our own balance sheet.

Placement Business

§2 (2) no. 8 of the WpIG

Placing financial instruments without taking risk on our own books.

Investment Advice

§2 (2) no. 4 of the WpIG

Providing tailored recommendations on financial instruments.

Dealing on own account

§ 2 (2) no. 10c of the WpIG

Trading financial instruments for our own proprietary book.

Underwriting Business

§2 (2) no. 2 of the WpIG

Taking securities or tokens onto our own books and placing them with investors.

Principal Broking

§2 (2) no. 1 of the WpIG

Trading in our own name on behalf of clients.

Investment broking

§2 (2) no. 3 of the WpIG

Arranging purchases and sales of financial instruments.

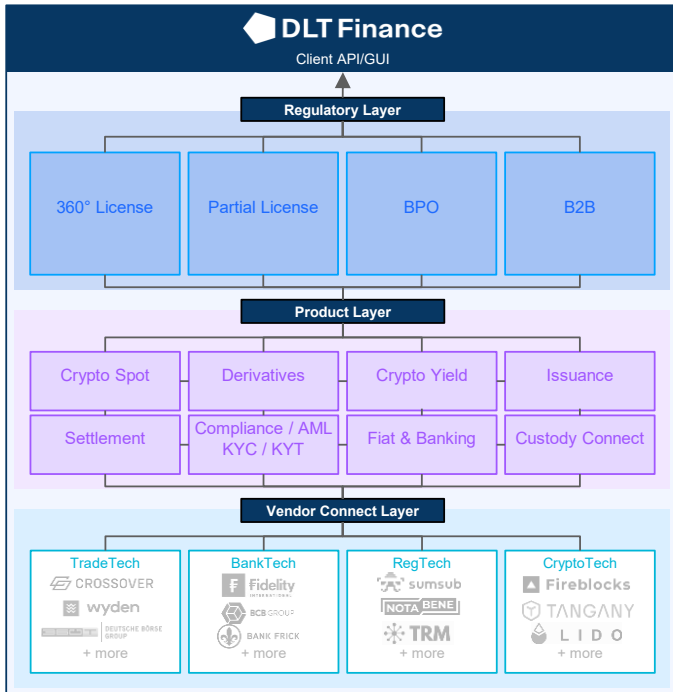


Modular platform architecture

Partner Trading Platform

„vertical integration“

from API-Gateway, over full fledged Regulatory via Productuniverse to Partnerlayer



Regulatory Layer Features

B2B2C Financial Service Ready
White-label infrastructure for clients to offer crypto services while **DLT Finance manages compliance and technology.**

Market Leading Licensing Setup
Pre-built compliance frameworks across jurisdictions enabling rapid **market entry without individual licensing delays.**

Tier 1 Legal Jurisdiction (BaFin, Germany)
German BaFin oversight ensures credibility, regulatory clarity, and European market access with top compliance standards.

Product Layer Features

- ✓ Riskless Principal & Agency Model
- ✓ RFQ, DMA, SOR, ECN
- ✓ Flexible Settlement Options

- ✓ Derivative Issuance
- ✓ KYC/KYB Infrastructure for Retail & Corp.
- ✓ Regulatory-Ready Reporting

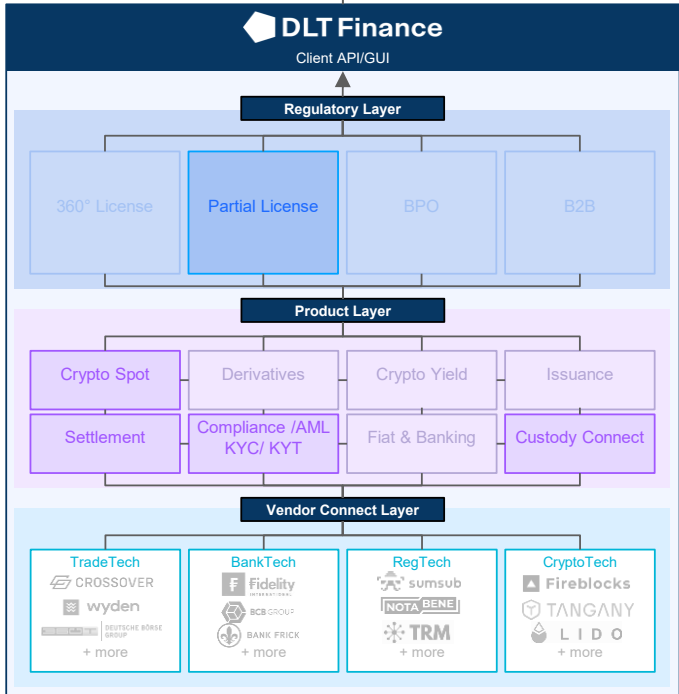
- ✓ Segregated & Pool Wallets
- ✓ Open & Closed Wallet Systems
- ✓ Multi Level Accounting

Vendor Connect Layer Features

35+ Integrated Vendors
Extensive partner ecosystem of exchanges, liquidity providers, and financial services through single integration.

Streamlined via a single API
All vendor integrations unified through one API, reducing complexity and development overhead.

Open Platform Infrastructure
Extensible architecture for seamless addition of new vendors and services without platform limitations.

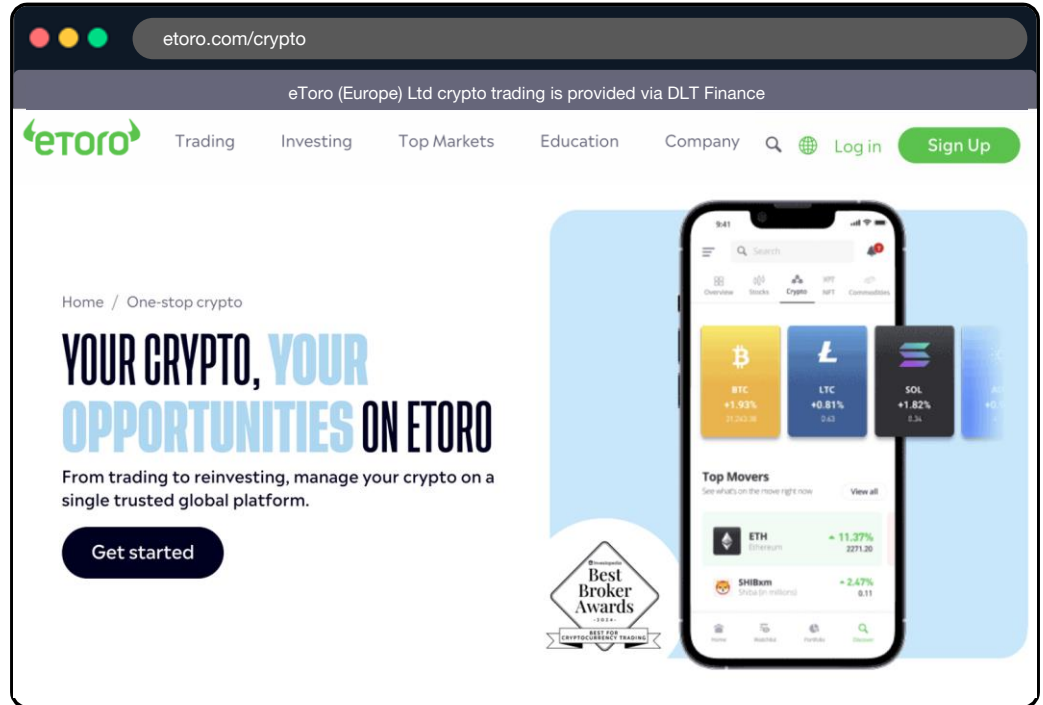
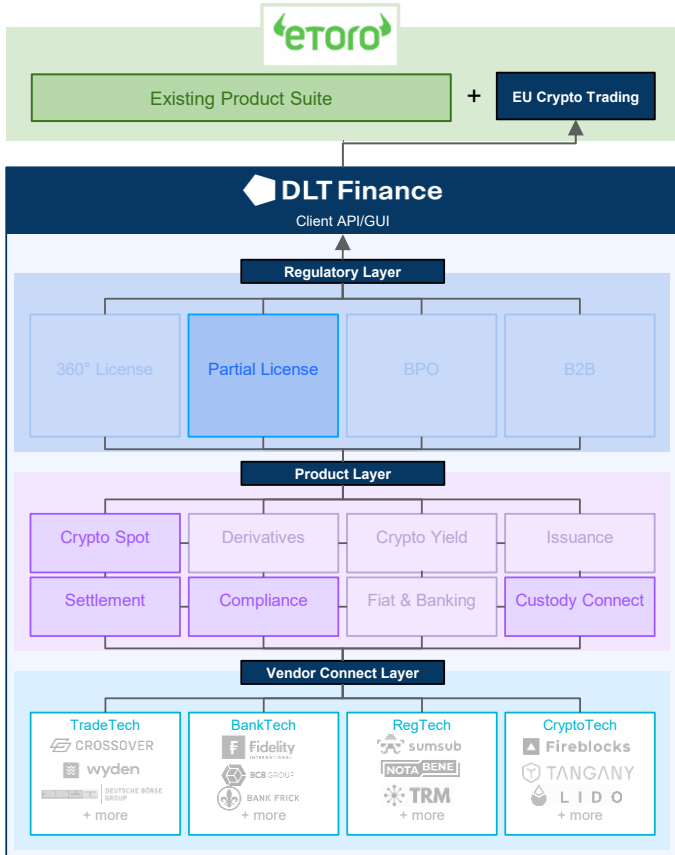


Kraken.com/crypto

more than 180.000 clients powered by DLT Securities GmbH

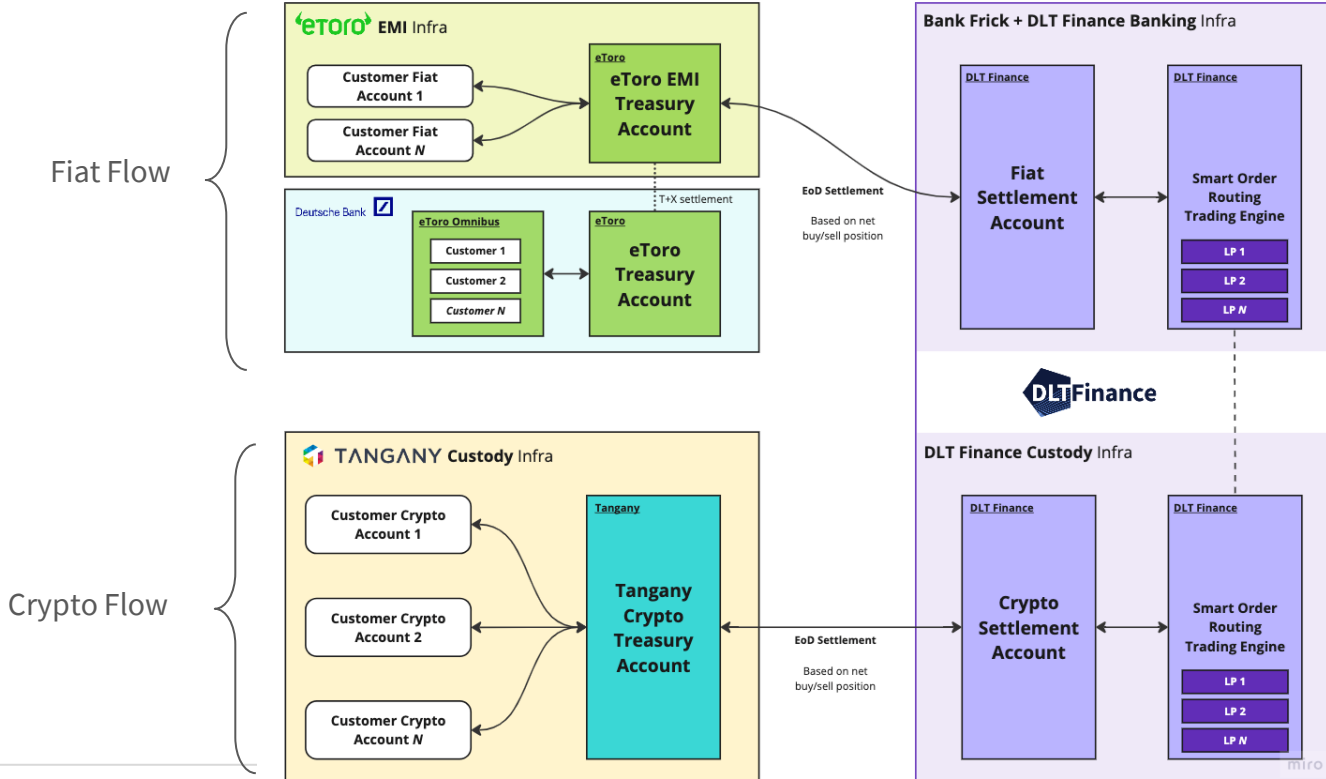


Successfully expanded to comprehensive crypto offerings. Our white-label infrastructure enabled rapid market entry while maintaining full regulatory compliance and brand control.

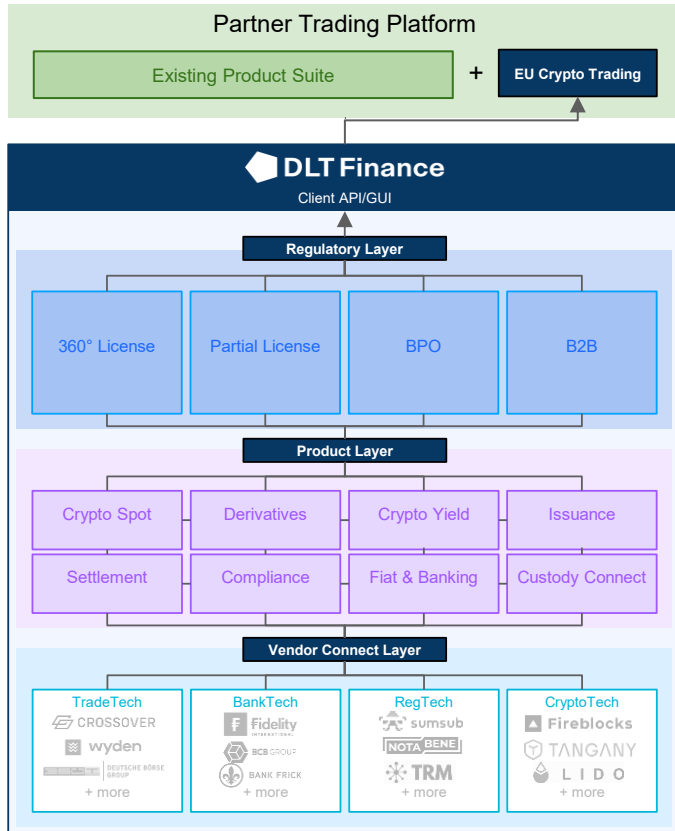


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Architecture: eToro + DLT Finance + Tangany



miro



DLT Finance manages all operational workflows

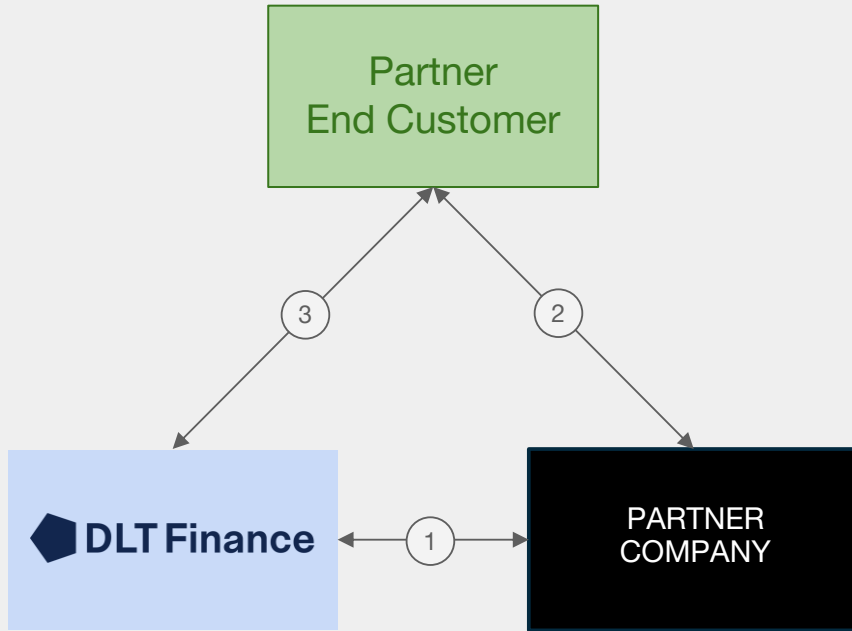
- ✓ Settlements, Compliance, Regulatory Reporting etc.
- ✓ DLTF Taskforce, second level support & technical account management

All products accessible from a single API

- ✓ Launch crypto spot, derivatives, custody & earn products
- ✓ Ready to scale tailored to your strategy

Integrated vendor ecosystem

- ✓ All required services are already integrated with working relationships
- ✓ Happy to integrate providers of your choice



- 1 | **Partner <> DLT Securities GmbH**
Cooperation Agreement outlining the services which DLT Finance outsources to Partner: order transmission and acceptance, KYC data collection, and operation of the frontend.
- 2 | **Partner <> End Customer**
Terms & Conditions for provision of Partner core services.
- 3 | **DLT Securities GmbH <> End Customer**
Terms & Conditions for provision of EU-regulated trading services to end customers.

Core Takeaways:

- end client needs a full fledged service bundle – onboarding -trading – custody –fiat gateway
- a strong and trustfull brand is not enough – regulation and EU-Passporting is a must
- DMA-Margins are shrinking dramaticlly
- more innovative products like perps /options are knocking on european markets door
- KYC / AML / KYT in combination with a scalable and powerfull IT is the name of the game
- Vertical Integration needs to be provided to close the gap from the end-client to the digital world
- tbd - where are the german (end-clients) with traditional bank accounts – miles, decades or centuries away?

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Vielen Dank

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